

PTO/SB/21 (02-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number **10/719,556**Filing Date **November 21, 2003**First Named Inventor **Binggeli**Art Unit **1632**

Examiner Name

Attorney Docket Number **21461**

Total Number of Pages in This Submission

**ENCLOSURES (Check all that apply)**

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address                 | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer                              |  |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund                               |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                        |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

Remarks

**Request for Corrected Filing Receipt****SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	<b>Eileen M. Ebel</b>
Signature	
Date	<b>03/31/2004</b>

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	<b>Eileen M. Ebel</b>		
Signature		Date	<b>03/31/2004</b>

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

A. Binggeli, et al.

Group: 1632

Serial No. 10/719,556, filed November 21, 2003

Examiner:

For: INDOLYL DERIVATIVES

**REQUEST FOR CORRECTED FILING RECEIPT**

Nutley, New Jersey 07110  
March 31, 2004

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants respectfully request a corrected filing receipt for the captioned case correcting the "Applicant(s)" as shown on the enclosed receipt copy.

Applicants have just received the filing receipt in Serial No. 10/719,556, filed November 21, 2003. In reviewing the filing receipt, it was noted that one of the inventor's city is spelled incorrectly, due to an error on the Application Data sheet submitted on November 21, 2003.

Serial No. 10/719,556  
Filed: November 21, 2003

The filing receipt currently lists the inventors as follows:

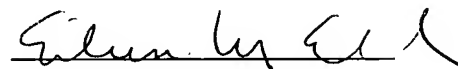
Alfred Binggeli, Binningen, SWITZERLAND;  
Uwe Grether, Loerrach, GERMANY;  
Hans Hilpert, **Munchenstein**, SWITZERLAND;  
Georges Hirth, Huningue, FRANCE;  
Bernard Kuhn, Riehen, SWITZERLAND;  
Hans-Peter Maerki, Basel, SWITZERLAND;  
Markus Meyer, Neuenburg, GERMANY;  
Peter Mohr, Basel, SWITZERLAND;

Kindly amend as follows:

Alfred Binggeli, Binningen, SWITZERLAND;  
Uwe Grether, Loerrach, GERMANY;  
Hans Hilpert, **Muenchenstein**, SWITZERLAND;  
Georges Hirth, Huningue, FRANCE;  
Bernard Kuhn, Riehen, SWITZERLAND;  
Hans-Peter Maerki, Basel, SWITZERLAND;  
Markus Meyer, Neuenburg, GERMANY;  
Peter Mohr, Basel, SWITZERLAND;

Please charge any payment due to our Deposit Account No. 08-2525. Thank you.

Respectfully submitted,



Attorney for Applicant(s)  
Eileen M. Ebel  
(Reg. No. 37,316)  
340 Kingsland Street  
Nutley, New Jersey 07110  
Telephone: (973) 235-4391  
Telefax: (973) 235-2363

140793

eme/lm



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/719,556	11/21/2003	1632	770	21461		20	1

00151

HOFFMANN-LA ROCHE INC.  
 PATENT LAW DEPARTMENT  
 340 KINGSLAND STREET  
 NUTLEY, NJ 07110



CONFIRMATION NO. 3560

## FILING RECEIPT



\*OC000000011945911\*

Date Mailed: 02/23/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Alfred Binggeli, Binningen, SWITZERLAND; ✓  
 Uwe Gröther, Loerrach, GERMANY; ✓  
 Hans Hilpert, Munchenstein, SWITZERLAND; ✓ *MUNCHENSTEIN*  
 Georges Hirth, Huningue, FRANCE; ✓  
 Bernd Kuhn, Riehen, SWITZERLAND; ✓  
 Hans-Peter Maerki, Basel, SWITZERLAND; ✓  
 Markus Meyer, Neuenburg, GERMANY; ✓  
 Peter Mohr, Basel, SWITZERLAND; ✓

Domestic Priority data as claimed by applicant

*Docketed: Certified Copy March 26, 2004*  
*bah*

## Foreign Applications

EUROPEAN PATENT OFFICE (EPO) 02026366.1 11/25/2002 ✓

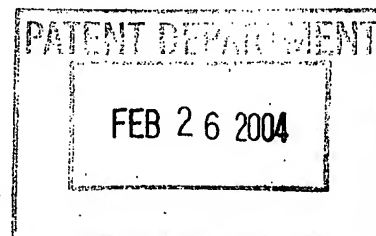
If Required, Foreign Filing License Granted: 02/19/2004

Projected Publication Date: 06/03/2004

Non-Publication Request: No

Early Publication Request: No

Copy Sent to  
 Department PLP





Title

Indolyl derivatives

Preliminary Class

435

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).